



## Notice of meeting of a public meeting of the

### Audit & Governance Committee

<b>To:</b>	Councillors Ayre (Chair), Brooks (Vice-Chair), Potter, Barnes, D'Agorne, Fraser and Scott and Mr Whiteley (Co-opted Non-Statutory Member)
<b>Date:</b>	Wednesday, 10 December 2014
<b>Time:</b>	5.30 pm
<b>Venue:</b>	The George Hudson Board Room - 1st Floor West Offices (F045)

### AGENDA

#### 1. **Declarations of Interest**

Members are asked to declare:

- Any personal interests not included on the Register of Interests
- Any prejudicial interests or
- Any disclosable pecuniary interests

which they may have in respect of business on the agenda.

#### 2. **Minutes** (Pages 1 - 14)

To approve and sign the minutes of the meetings of the Audit and Governance Committee held on 24 September 2014 and 2 October 2014.

#### 3. **Public Participation**

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or

an issue within the Committee's remit can do so. The deadline for registering is **5:00 pm on Tuesday 9 December 2014**.

### **Filming, Recording or Webcasting Meetings**

Please note this meeting will be filmed and webcast and that includes any registered public speakers, who have given their permission. This broadcast can be viewed at <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at [http://www.york.gov.uk/downloads/download/3130/protocol\\_for\\_webcasting\\_filming\\_and\\_recording\\_of\\_council\\_meetings](http://www.york.gov.uk/downloads/download/3130/protocol_for_webcasting_filming_and_recording_of_council_meetings)

#### **4. Forward Plan (Pages 15 - 22)**

This paper presents the future plan of reports expected to be presented to the committee during the forthcoming year to September 2015.

#### **5. Mazars Annual Audit Letter 2013/14 (Pages 23 - 34)**

This report summarises the outcome of the 2013/14 audit carried out by Mazars.

#### **6. Mazars Audit Progress Report (Pages 35 - 48)**

This report updates Members on progress made by Mazars in meeting its responsibilities as external auditor. It also includes key emerging national issues and developments which may be of interest to the Committee.

**7. Scrutiny of Treasury Management Mid Year Review Report and Prudential Indicators 2014/15** (Pages 49 - 68)

This paper presents the Treasury Management Mid Year Review and Prudential Indicators 2014/15 report. This information provides an update of treasury management activity for the first six months of 2014/15.

**8. Overview of National Risk Picture** (Pages 69 - 76)

This paper presents Members with an update on the key and emerging risks facing the Council, with a view to Members considering any further information they would wish to receive on these matters.

**9. Audit and Counter Fraud Monitoring Report** (Pages 77 - 178)

This report provides an update on progress made in delivering the internal audit workplan for 2014/15 and on current counter fraud activity.

**10. Information Governance Progress Report** (Pages 179 - 182)

This report provides Members with an update on information governance developments since the last report to the Committee on 25 June 2014.

**11. Freedom of Information (FOI) Update** (Pages 183 - 192)

This report provides an update on the Council's progress and performance in responding to Freedom of Information requests.

**12. Absence Management Update Report** (Pages 193 - 208)

As requested by the Committee at its meeting on 25 June 2014, this report outlines the plans in place and actions undertaken in light of the concerns raised within the Annual Governance Statement relating to sickness absence management across the council.

**13. Partnership Governance Update Report** (Pages 209 - 212)

This report sets out work that is ongoing as part of an action plan to ensure that the council has a methodology and approach to ensure that partnerships operate effectively.

#### 14. Local Government Association Review (Pages 213 - 218)

This report outlines the action taken in response to a motion approved by Council on 9 October 2014. Mark Edgell from the Local Government Association will be in attendance for this item to set out the early findings of the review into member-officer relations.

#### 15. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Jayne Carr

Contact Details:

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Email – [jayne.carr@york.gov.uk](mailto:jayne.carr@york.gov.uk)

For more information about any of the following please contact the Democratic Services Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports and
- For receiving reports in other formats

Contact details are set out above.

**This information can be provided in your own language.**

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی میا کی جاسکتی ہیں۔ (Urdu)

 (01904) 551550